

GDB SHARE & STOCK BROKING SERVICES LIMITED

CIN : U67120WB1995PLC071952

POLICY REGARDING INACTIVE ACCOUNT

SEBI vide circular MIRSD/SE/Cir-19/2009 dated December 3, 2009 and BSE notice no. 20091204-7 dated December 4, 2009 directed that a policy be framed by stock brokers to deal with the inactive/dormant accounts

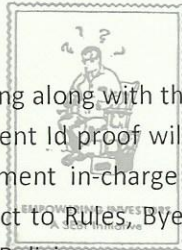
Inactive Accounts

A client may write to GDB Shares & Stock Broking Services Ltd. stating that he wishes to transfer his account into an "inactive" status, based on which the account will be marked as such. Any client who has not traded continually for a period of 2 years will automatically be moved to the "inactive" category.

The client's funds will be settled upon declaration of an account being inactive.

Reactivation of Inactive Accounts

Client can give duly signed request in writing along with the financial information required for trading. In addition, latest copy of address proof /Client Id proof will be required. On verification of the same the compliance officer or concerned department in-charge of registration of clients can authorize the activation of such inactive accounts subject to Rules, Bye laws, circulars and guidelines issued by SEBI, Exchanges and Internal Risk Management Policies.



This policy may be reviewed as and when there are any changes introduced by any statutory authority or as and when it is found necessary to change on account of business needs and Risk Management policy

For GDB Share & Stock Broking Services Ltd.

DIRECTOR

REGD. OFFICE : 17, GANESH CHANDRA AVENUE, KOLKATA-700 013, PHONE : 2211-3188 / 1154, 2236-4295
E-MAIL : gdbss@hotmail.com

MEMBER : NATIONAL STOCK EXCHANGE OF INDIA LTD. • SEBI REGD. NO. INB 230778835
BOMBAY STOCK EXCHANGE LTD. • SEBI REGD. NO. INB 010778836

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Addendum to Policy Regarding Inactive Account

NSE/INSP/43488 dated February 10 , 2020

Definition of Inactive Accounts

Any client who has not traded continually for a period of 12 months across all Exchanges will automatically be moved to the "Inactive" category. The inactive accounts identified based on the above criteria shall be flagged as "Inactive" in UCC database of all the respective Exchanges.

Re-Activation of Inactive Accounts

Client can give duly signed request in writing along with the financial information required for re-activation. In addition, latest copy of Address proof/ Client ID proof / Mobile Number/ Email ID / Bank / DP details will be required. On verification of the same the compliance officer or concerned department in-charge of registration of clients can authorize the activation of such inactive accounts subject to Rules, Bye laws, circulars and guidelines issued by SEBI, Exchanges and Internal Risk Management Policies. The same will be updated in the back office as well in the UCC records of the Exchange.

This policy may be reviewed as and when there are any changes introduced by any statutory authority or as and when it is found necessary to change on account of business needs and Risk Management policy.



REGD. OFFICE : 17, GANESH CHANDRA AVENUE, KOLKATA-700 013, PHONE : 2211-3188 / 6822, 40014708
E-MAIL : gdbss@hotmail.com

MEMBER : NATIONAL STOCK EXCHANGE OF INDIA LTD. / BOMBAY STOCK EXCHANGE LTD.
SEBI REGD. NO. INZ000193238